



# Corrections and Community Supervision

## DOCCS FACT SHEET

August 1, 2021

The management and operation of prisons is difficult work. It requires an equal measure of patience, discipline and creativity. DOCCS is responsible for the care, custody, and treatment of individuals sentenced to state prison, working with these inmates to ensure successful re-entry into the community and supervising those who are placed on parole. That's our Department's mission and it's the guide we follow to carry out the very serious responsibility entrusted to us.

The material contained within this Fact Sheet is based on statistical information compiled by DOCCS and it is intended to provide insight into the function and operation of the Department's 50 facilities that house 32,130 inmates and 32,480 parolees under DOCCS' responsibility.

### Prison Safety

Statewide Assaults*						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide**	759	799	972	1,033	1,047	623
Assaults on Inmates Statewide**	1,135	1,224	1,165	1,265	1,204	586

\*Excludes Willard, Edgecombe and Parole Diversion Programs.

\*\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

Maximum vs. Medium Facility Inmate-on-Staff Assaults* 2016-2021						
	2016	2017	2018	2019	2020	2021
Assaults on Staff Statewide	759	799	972	1033	1047	623
Maximum-Security*	552	567	722	769	772	479
Medium-Security	198	214	237	249	262	140

Excludes Willard, Edgecombe and Parole Diversion Programs.

\*Includes disciplinary confinement SHU 200 units and Regional Medical Units.

**Note:** Unlike the Penal Law where physical injury is a required element, any attack by an inmate is classified by DOCCS as an assault. This includes events where no physical injury occurs and events where any object, including a small object, is thrown at and hits another person. By contrast, in the Penal Law, an assault requires physical injury, which means impairment of physical condition or substantial pain.

2021 2 <sup>nd</sup> Quarter Assaults					
	Apr	May	Jun		Total
Assault on Inmate	59	73	104		236
Assault on Staff	99	93	98		290
Total	158	166	202		526
Assault Degree of Injury to Staff					
Incident Type: Staff Injured during an Inmate on Inmate Assault					
	May	Jun	Jul		Total
No Injury	299	391	395		2,252
Minor*	3	3	19		51
Moderate**	0	0	0		0
Serious***	0	0	0		0
Severe****	0	0	0		0
Total	302	394	414		1,110
Incident Type: Staff Injured during an Inmate on Staff Assault					
	May	Jun	Jul		Total
No Injury	372	396	370		2,531
Minor*	150	138	110		865
Moderate**	3	8	4		43
Serious***	4	8	1		25
Severe****	0	0	0		0
Total	529	550	485		1,564

**Note:** Excludes Willard, Edgecombe, residential treatment facilities and Parole Diversion Programs and is based upon staff injuries sustained in Unusual Incidents each month, including employee accidents. Effective October 1, 2014 per Article VII bill, DOCCS added a category of severe and redefined injury definitions.

\*Injuries that require either no treatment, minimal treatment (scratch, bruise, aches/pain) or precautionary treatment.

\*\* Injuries such as lacerations, concussions, 2<sup>nd</sup> degree burns, serious sprains, dislocation, and muscle or ligament damage.

\*\*\*Injuries that require transport to an outside hospital but are not considered life-threatening at the preliminary report.

\*\*\*\* Injuries that cause obvious disfigurement, protracted impairment of health, loss or impairment of organ function, amputation, and injuries that risk cause of death.

## Escape Incidents

- The last escape from within a maximum security secure perimeter was 2015 at Clinton Correctional Facility; prior to 2015 the last escape was in 2003.
- The last escape from within a medium security secure perimeter was 1992 at Mid-Orange Correctional Facility.

## Prison Closures

- Since 1999, New York's prison population has declined by 56.7 percent, from a high of 72,649 incarcerated individuals (includes Willard DTC) to 32,130 (8/1/21).
- Since 2011, the State has eliminated more than 10,000 prison beds and closed a total of 18 correctional facilities due to excess bed capacity resulting in an overall annual savings of approximately \$300 million.
- DOCCS security staff reductions have been consistent with inmate population declines. In each of the prison closure years, more than 96% of affected employees remained employed, retired or resigned.

DOCCS Security Staff and Inmate Population							
December	Correction Officers Sergeants Lieutenants Positions Filled	Numeric Change	Percent Change	Offender Population (includes Willard)	Numeric Change	Percent Change	Staffing Ratio Inmate Population Versus Filled Uniform Staff Positions
2003	20,794	-464	-2.2%	66,110	-1,549	-2.3%	3.2
2004	21,068	274	1.3%	64,905	-1,205	-1.8%	3.1
2005	21,420	352	1.7%	63,930	-975	-1.5%	3.0
2006	21,505	85	0.4%	64,410	480	0.8%	3.0
2007	21,414	-91	-0.4%	63,425	-985	-1.5%	3.0
2008	21,404	-10	0.0%	60,933	-2,492	-3.9%	2.8
2009	20,602	-802	-3.7%	59,279	-1,654	-2.7%	2.9
2010	20,652	50	0.2%	57,229	-2,050	-3.5%	2.8
2011	19,647	-1,005	-4.9%	55,979	-1,250	-2.2%	2.8
2012	19,192	-455	-2.3%	54,865	-1,114	-2.0%	2.9
2013	19,145	-47	-0.2%	54,142	-723	-1.3%	2.8
2014	19,002	-143	-0.7%	53,103	-1,039	-1.9%	2.8
2015	19,360	358	1.9%	52,344	-759	-1.4%	2.7
2016	19,233	-127	-0.7%	51,466	-878	-1.7%	2.7
2017	19,242	9	0.0%	50,271	-1,195	-2.3%	2.6
2018	19,295	53	0.3%	47,459	-2,812	-5.6%	2.5
2019	19,072	-223	-1.2%	44,334	-3,125	-6.6%	2.3

<b>2020</b>	18,541	-531	-2.8%	34,446	-9,888	-22.3%	1.9
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<b>Staffing and Population Differences</b>				
	<b>12/31/99</b>	<b>8/1/21</b>	<b>Numeric Difference</b>	<b>Percent Change</b>
<b>Correction Officers Sergeants Lieutenants</b>	22,112	17,739	4,373	-19.7%
<b>Inmates (includes Willard)</b>	72,649	32,130	40,519	-55.7%

<b>DOCCS Correctional Facility Security Personnel</b> Council 82/NYSCOPBA Staff														
	<b>2008</b>	<b>2009</b>	<b>2010</b>	<b>2011</b>	<b>2012</b>	<b>2013</b>	<b>2014</b>	<b>2015</b>	<b>2016</b>	<b>2017</b>	<b>2018</b>	<b>2019</b>	<b>2020</b>	<b>2021</b>
Council 82														
<b>Lieutenants</b>	535	526	521	458	483	482	472	475	471	480	478	470	436	402
NYSCOPBA														
<b>Sergeants</b>	1,289	1,236	1,256	1,169	1,196	1,190	1,175	1,172	1,179	1,193	1,184	1,171	1,094	1,056
<b>Officers</b>	19,522	18,955	18,930	17,945	17,741	17,627	17,569	17,635	17,601	17,581	17,528	17,431	17,071	16,281
Subtotal	<b>20,811</b>	<b>20,191</b>	<b>20,186</b>	<b>19,114</b>	<b>18,937</b>	<b>18,817</b>	<b>18,744</b>	<b>18,807</b>	<b>18,780</b>	<b>18,774</b>	<b>18,712</b>	<b>18,602</b>	<b>18,165</b>	<b>17,337</b>
Total	<b>21,346</b>	<b>20,717</b>	<b>20,707</b>	<b>19,572</b>	<b>19,420</b>	<b>19,300</b>	<b>19,216</b>	<b>19,282</b>	<b>19,251</b>	<b>19,254</b>	<b>19,190</b>	<b>19,072</b>	<b>18,601</b>	<b>17,739</b>

<b>Number and Percent of Inmates Convicted of a Violent Felony Offense In Male Maximum and Medium Security Facilities</b>									
<b>July 1<sup>st</sup> of Selected Years</b>									
	<b>2011</b>			<b>2016</b>			<b>2021</b>		
	<b>VFO</b>	<b>Inmates</b>	<b>%</b>	<b>VFO</b>	<b>Inmates</b>	<b>%</b>	<b>VFO</b>	<b>Inmates</b>	<b>%</b>
<b>Maximum Facilities</b>	19,153	24,1118	79.4%	17,958	22,165	81.0%	13,155	15,291	86%
<b>Medium Facilities</b>	15,085	27,721	54.4%	14,025	25,481	55%	9,0593	14,752	65%

**Note:** This table includes inmates at the following parole program facilities: Edgecombe, Willard, Orleans, and Hudson PDP.

<b>SHU Cell Occupants Effective Beginning of Business</b>				
<b>Inmate Status</b>	<b>5/1/2021</b>	<b>6/1/2021</b>	<b>7/1/2021</b>	<b>8/1/2021</b>
<b>SHU Disciplinary Sanction</b>	997	932	1,028	1,038
<b>KEEPLOCK Disciplinary Sanction</b>	283	270	305	286
<b>Administrative Segregation</b>	7	6	7	8
<b>Involuntary Protective Custody</b>	28	30	26	40
<b>Pending Disciplinary Hearing</b>	197	291	286	260
<b>Pending Movement From Unit</b>	51	63	60	37

<b>Pending Investigation</b>	0	1	2	1
<b>Special Watch</b>	5	1	0	2
<b>Voluntary Protective Custody</b>	15	23	29	21
<b>TOTAL SHU Cell Occupants (Excluding Youthful Offenders)</b>	1,583	1,617	1,743	1,693

<b>Statewide Inmates Convicted of a Violent Felony Offense</b>						
End of Year	2016	2017	2018	2019	2020	2021
<b>Violent Offenders</b>	32,908	32,236	31,093	29,623	25,193	23,639

**Note:** This table includes offenders at the following parole program facilities: Edgecombe Residential Treatment, Orleans and Hudson Parole Diversion Programs and Willard Drug Treatment Campus.

<b>2014 Releases by Return Rate</b>							
Release Type And Release Cohort	Total Released	Total Returned		Return Type New Commitment		Return Type Parole Violator	
2014 Releases	21,816	9,318	42.7%	2,079	9.5%	7,239	33.2%
Parole	8,997	4,725	52.5%	786	8.7%	3,939	43.8%
Conditional Release	10,567	4,156	39.3%	856	8.1%	3,300	31.2%
Maximum Expiration	2,252	437	19.4%	437	19.4%	N/A	N/A

**Note:** DOCCS defines recidivism as recommitment or return to NYS DOCCS custody after an inmate's sanctioned release to the community.

**Note:** DOCCS followed a 2012 release cohort of offenders for three years to obtain the return rate data in the above table.

<b>Community Supervision Staffing and Cases by Region/Bureau*</b>		
<b>(start of month data - excludes staff and parolees in the revocation process)</b>		
Region/Bureau	Number of Parolees	Number of Parole Officers & Senior Parole Officers
<b>Bronx Region</b>		
Bureau I	963	18
Bureau II	780	22
Bureau III	701	14
Bureau IV	663	17
Bureau V	753	19
<b>Brooklyn Region</b>		
Bureau I	941	20
Bureau II	701	16
Bureau III	1,066	20
Bureau IV	1,034	21
<b>Central New York</b>		
Northeast	568	17
Plattsburgh Sub	360	8
Syracuse Belt	592	16
Syracuse	960	28

Utica	1,032	29
Watertown Sub	345	8

<b>Hudson Valley Region</b>		
New Rochelle	802	13
Peekskill	816	18
Albany	1,056	24
Schenectady	879	24
Poughkeepsie	883	20
<b>Manhattan/Staten Island</b>		
Manhattan Bureau II	617	18
Manhattan Bureau III	1,070	14
Manhattan Bureau IV	997	11
Manhattan Bureau VI	667	24
Staten Island	589	12
<b>Queens/Long Island</b>		
Queens Bureau I	730	14
Queens Bureau II	1,198	22
Queens Bureau III	701	14
Long Island SOU	697	16
Suffolk	870	17
Nassau	668	15
<b>Western Region</b>		
Buffalo Metro	1,089	26
Niagara Frontier	481	12
Elmira	603	17
Binghamton Sub	510	10
Rochester Metro	1,082	21
Rochester Belt	675	18
Rochester SOU	821	23
Southern Tier Sub	750	18

\*Average case load size cannot be determined using this data